

***Beyond
Randomization:
Statistical
Innovation for
Rare Disease
Trials***



Global biopharma company with presence in around 30 countries delivering medications to patients in many more

Unlocking the potential of breakthrough innovations, transforming the everyday life for people living with rare diseases



Focus on rare diseases within hematology, immunology and specialty care

Head office in Stockholm, Sweden with hubs in Switzerland and US. Approximately 1,900 employees across the world

The rare disease landscape

3rd most
populous
country



+10,000
identified
rare
diseases



80%
genetic
origin



400 million

4-8 yrs
to
diagnosis



5% with
approved
treatment



50%
children

The rare disease landscape presents challenges



Ethical limits

Placebo not always ethical or feasible



Small populations

Enough patients to run a trial? Control group?



Endpoints

No established endpoints, only biomarkers



Sample Size

Low statistical power



Inference gap

How to assess results without randomization?



Safety

How to evaluate safety with a small sample size?



Design challenge

Need alternative statistical framework



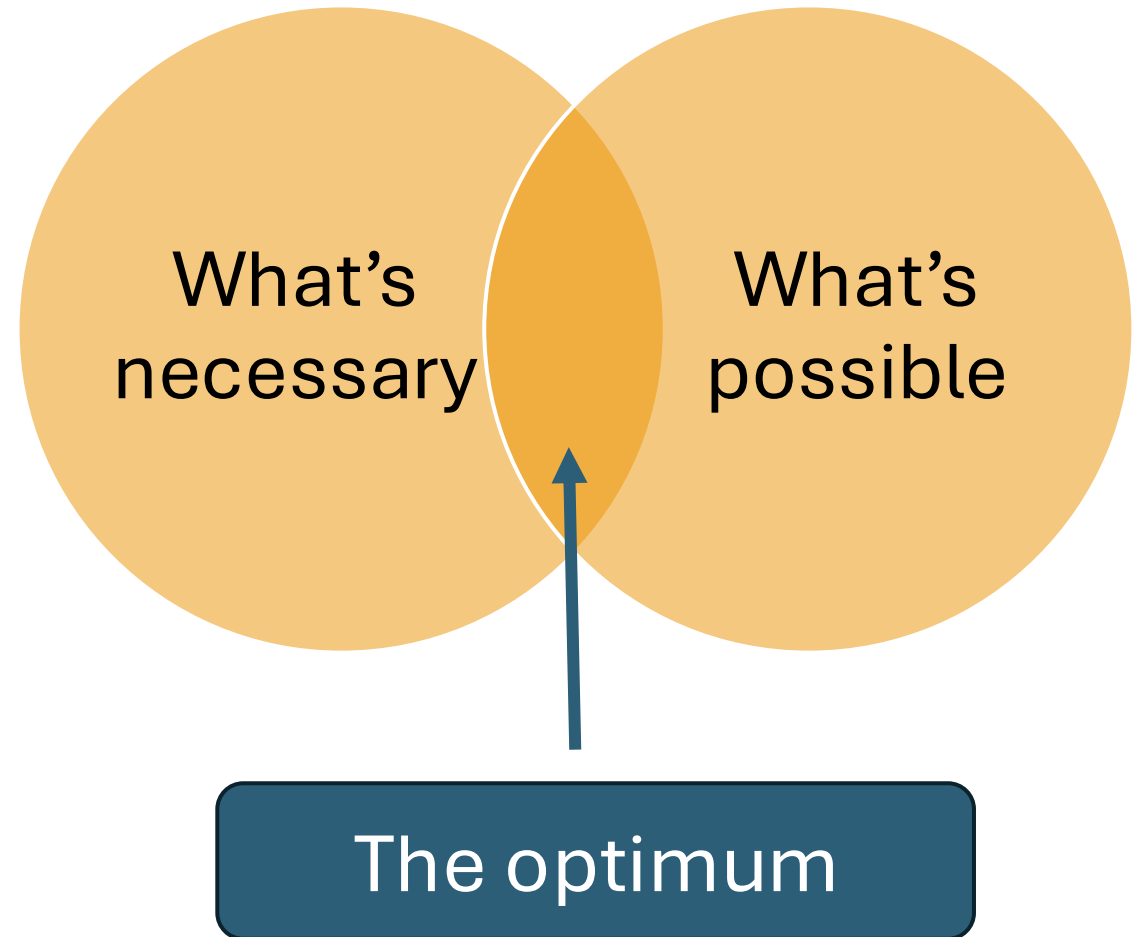
Authorities

FDA, EMA and other authorities not always aligned

WHAT TO DO?

Potential clinical trial designs to overcome the problem

- Single arm trials
- Adaptive designs
- Cross-over trials
- N-of-1 trials
- Basket trials
- Bayesian designs
- External control arms



Historical Controls to maintain scientific validity

Key Principles for selection:

- Comparable populations
- Reliable data source
- Be aware of, and adjust for bias
- Regulatory alignment



Can we trust the data?

From Historical Controls to Borrowing Information: Statistical Integration Frameworks

Frequentist

Historical Data

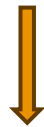


Propensity score matching

Meta-analysis

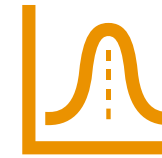
Threshold crossing

Test-then-pool



Statistical inference

Bayesian



Historical Data



Power prior

Meta-analytic predictive (MAP)

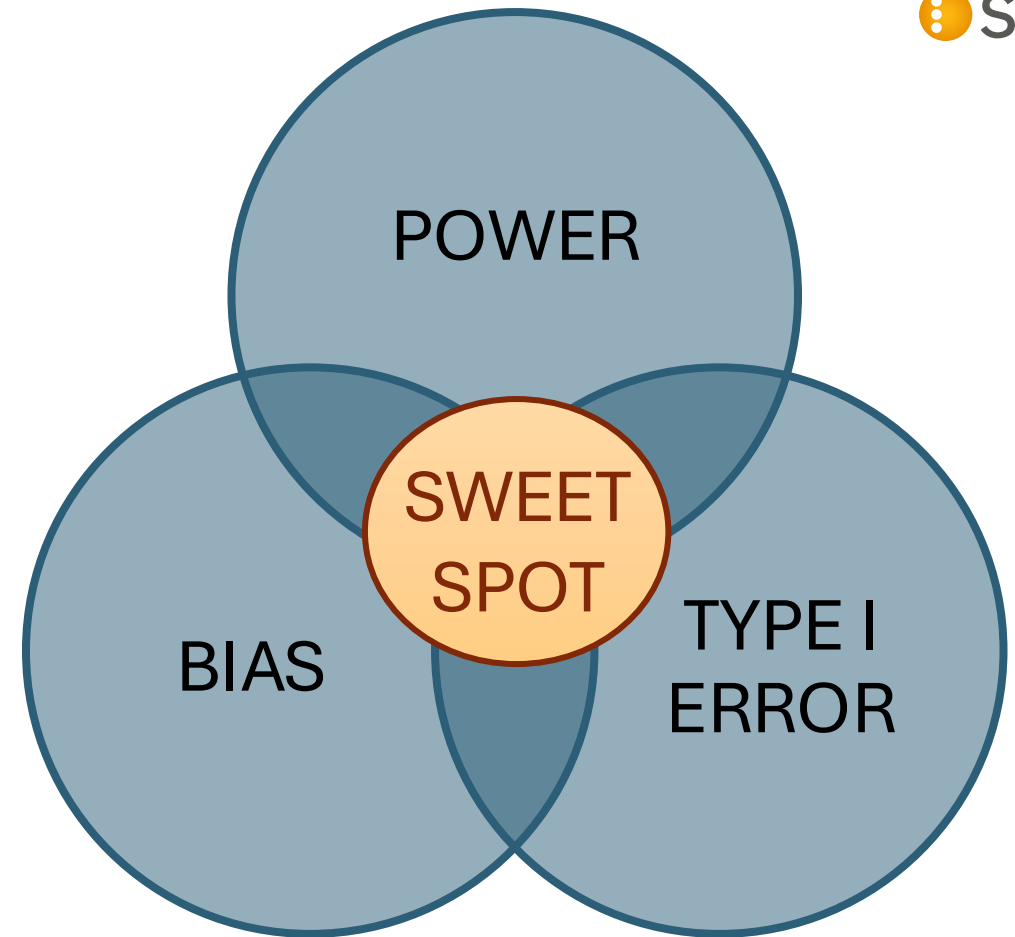
Dynamic Borrowing



Statistical inference

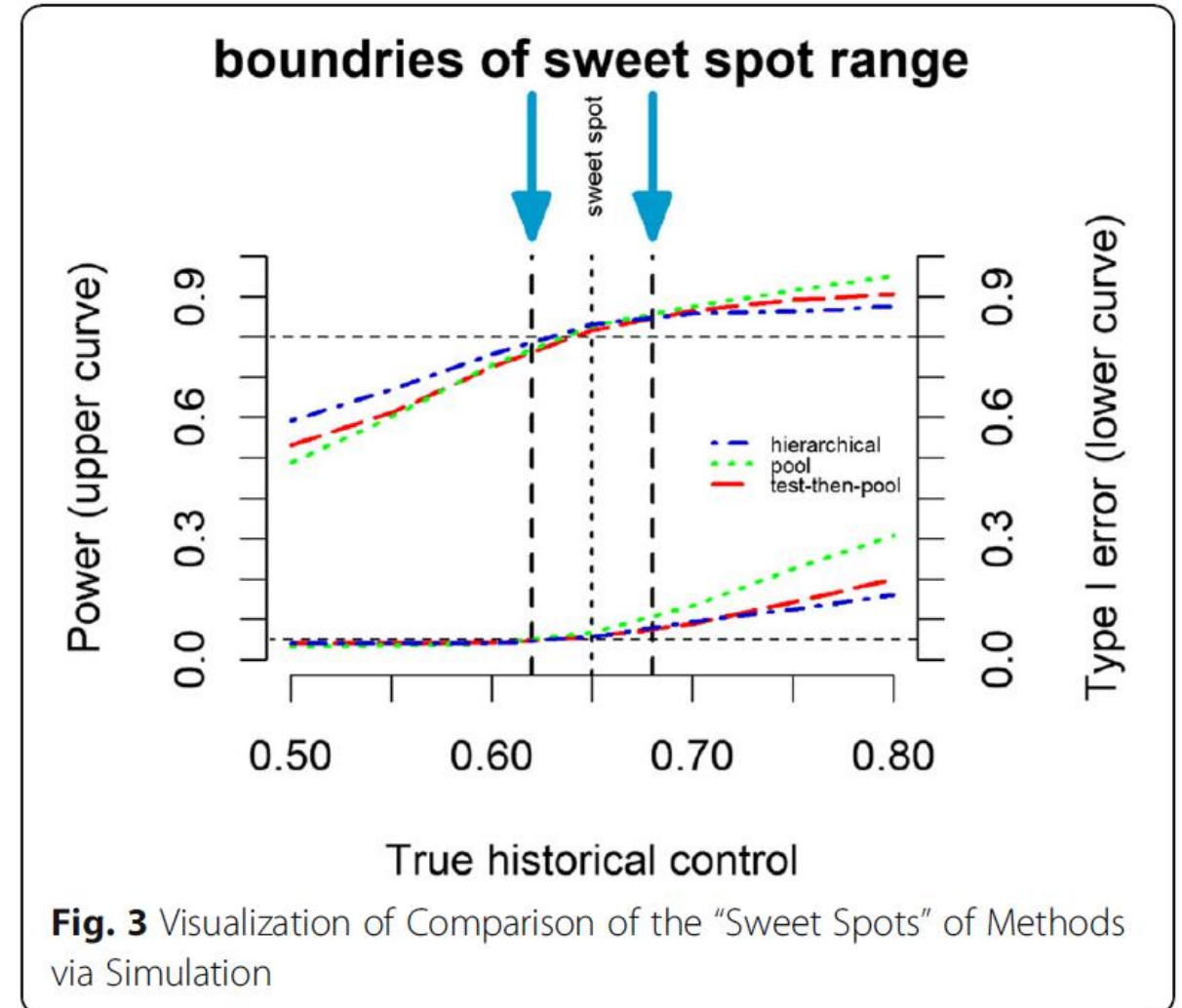
The Sweet Spot

- Simulation
 - Quantifies operating characteristics curve (power, type 1 error, bias, MSE)
 - Identifies the sweet spot – improved precision without inflated error
 - Compare static vs dynamic borrowing methods
 - Document code and reproducibility for regulatory review
- Sensitivity analysis
 - Vary priors, exclude HCs, test missing data assumptions
 - Demonstrate robustness across plausible bias structures

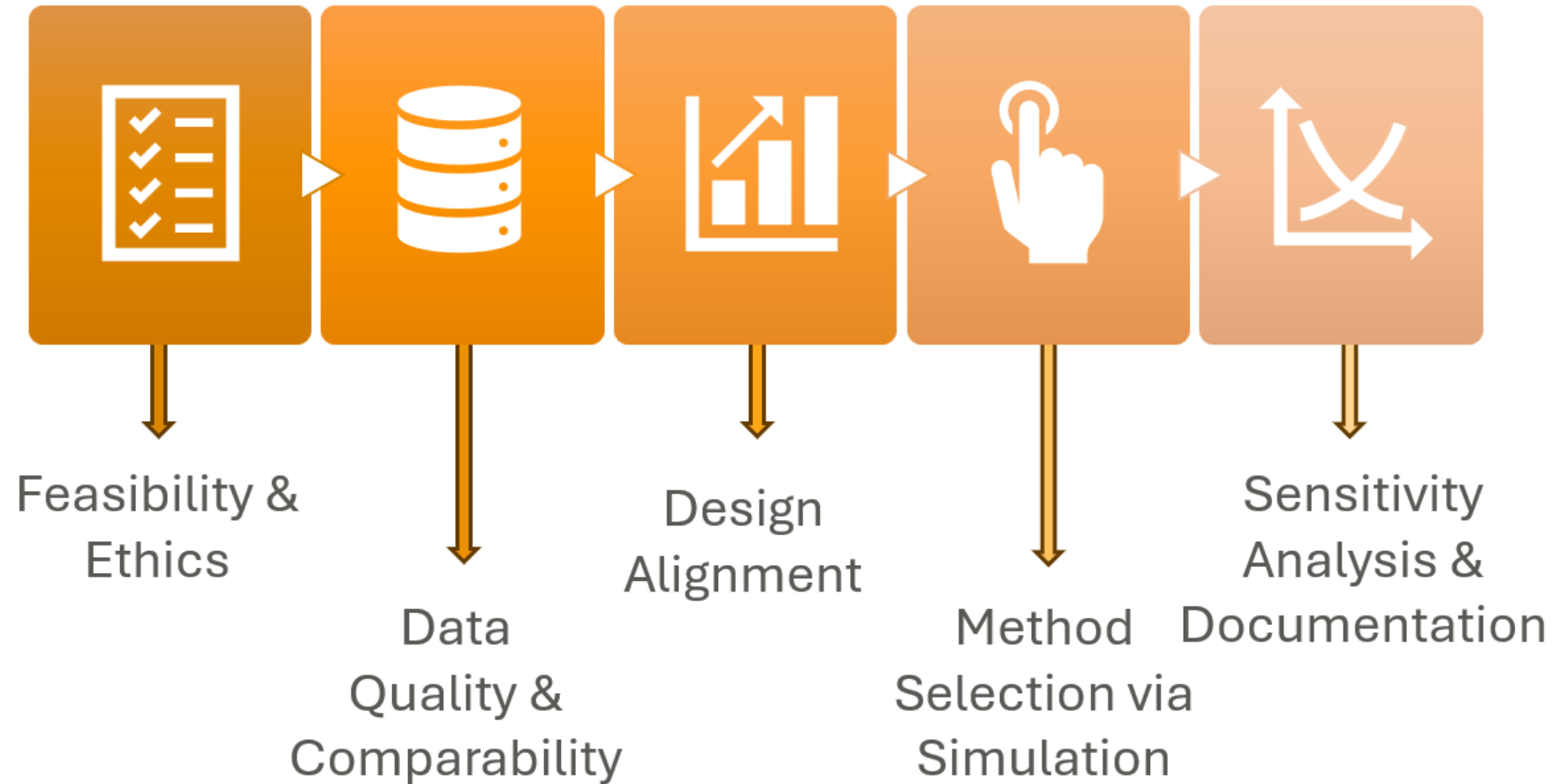


Simulation to Calibrate Borrowing and Control Bias

- Simulation quantifies trade-offs between power and Type I error
- Hierarchical model: stable borrowing, best bias control
- Pooling: aggressive borrowing, higher power but more risk
- Test-then-pool: adaptive, sharp transition near sweet spot
- Sweet spot (0.62–0.70): optimal balance of precision and validity



Decision framework for using historical controls



Key Takeaways

Historical controls strengthen evidence when randomization isn't feasible.

Dynamic Bayesian borrowing offers flexibility and effective bias control.

Simulation is the cornerstone for design validation and regulatory defensibility.

Sensitivity analysis ensures robustness and credibility of results.

Collaboration and standardization drive acceptance and long-term impact.



- **Key Scientific Reference**

- Ghadessi M et al. *A roadmap to using historical controls in clinical trials*. *Orphanet Journal of Rare Diseases*. 2020; 15:69. <https://doi.org/10.1186/s13023-020-1332-x>

- **FDA Guidance Documents**

- FDA (CDER/CBER). *Adaptive Designs for Clinical Trials of Drugs and Biologics – Guidance for Industry*. Draft Guidance, 2018.
- FDA (CDER/CBER). *Rare Diseases: Common Issues in Drug Development – Guidance for Industry*. Draft Guidance, 2019.
- FDA (CDER/CBER). *Rare Diseases: Natural History Studies for Drug Development – Guidance for Industry*. 2020.
- FDA (CDER/CBER). *Use of Bayesian Methodology in Clinical Trials of Drug and Biological Products – Draft Guidance for Industry*. January 2026.

- **EMA Guidance and Reflection Papers**

- EMA (CHMP). *Guideline on Adaptive Design Clinical Trials (EMA/CHMP/WD/2793/13)*. 2022.
- EMA. *Reflection Paper on the Use of Real-World Data in Regulatory Decision-Making*. Draft, 2021.

A woman with long brown hair, wearing a black beanie, a yellow t-shirt, and blue jeans, stands on a wide set of stone stairs. She is looking to the right. The background shows green trees and a clear sky. A blue semi-transparent banner is overlaid on the bottom half of the image.

Thank you

Together, we advance rare disease innovation

Questions or reflections?